

## A Molecular Orbital Approach to the Effect of Acid and Base on Mutarotation of D-Glucose

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**Synopsis.** Molecular orbital calculations were performed for the study of the mechanism of the mutarotation of D-glucose (in Fig. 1) by the CNDO/2 method. The calculated results for a proposed mechanism are in good agreement with the experimental results in relation to the effect of the acid and the base, suggesting the validity of the proposed mechanism of mutarotation.

The mutarotation of D-glucose in solution is caused by the interconversion between  $\alpha$ - and  $\beta$ -anomers. The mutarotation has been reported to be accelerated by acid or base, but this reaction is accelerated by the combination of both acid and base.<sup>1,2</sup> The proposed mechanisms of the acid, the base or the acid-base catalysis are shown in Fig. 1. All the three mechanisms include the formation of a chain intermediate by the cutting of the C<sub>1</sub>-O<sub>a</sub> bond. If we assume that the formation of this chain intermediate is the rate-determining step, it follows that if the acid or the base accelerates the breaking of the C<sub>1</sub>-O<sub>a</sub> bond, the reaction rate of the mutarotation should become faster on the whole. To investigate the validity of this interpretation, we performed a molecular orbital calculation by the CNDO/2 approximation,<sup>3</sup> and tried to inquire into the effect of the acid or the base.

### Results and Discussion

The molecular structures of  $\alpha$ - and  $\beta$ -D-glucose were determined by the energy gradient method in the CNDO/2 approximation using the program package GEOMIN.<sup>4</sup> The molecular structures thus obtained reproduced fairly well those obtained by the X-ray diffraction method.<sup>5</sup> In order to examine the effect of the acid and the base, we built models for the three mechanisms shown in Fig. 1. We chose H<sub>3</sub>O<sup>+</sup> for the acid and H<sub>2</sub>O, NH<sub>3</sub>, OH<sup>-</sup> for the base. The structures of the acid and the bases were optimized fully by the energy gradient method. H<sub>3</sub>O<sup>+</sup> was set on the equatorial position of the ether oxygen (O<sub>a</sub>) and the distance between the ether oxygen and the proton of H<sub>3</sub>O<sup>+</sup> is 1.5 Å. The distance between the central atom of the bases and the proton of the anomeric OH group is also 1.5 Å. The central atom of the bases and this OH group were on a straight line. The conformation of the bases are as follows; the dihedral angle of C<sub>1</sub>-O<sub>a</sub>-O(base)-H' equals to 90 degrees for H<sub>2</sub>O and that of C<sub>1</sub>-O<sub>a</sub>-N(base)-H' 180 degrees for NH<sub>3</sub>. H' stands for a proton of the bases. For OH<sup>-</sup>, the proton of OH<sup>-</sup> ion was set linearly. The position of the proton of the bases was determined so as to reduce the steric hindrance. We selected the arrangement of all atoms of the bases in this manner.

Furthermore, we define the symbols for special species; isolated glucose molecule is glucose<sup>0</sup>, glucose interacting with H<sub>3</sub>O<sup>+</sup> at the ether oxygen is glucose<sup>+</sup> and glucose<sup>0</sup> and glucose<sup>+</sup> interacting with the bases at the anomeric OH group are glucose<sup>0</sup>-“base” and glucose<sup>+</sup>-“base”, respectively.

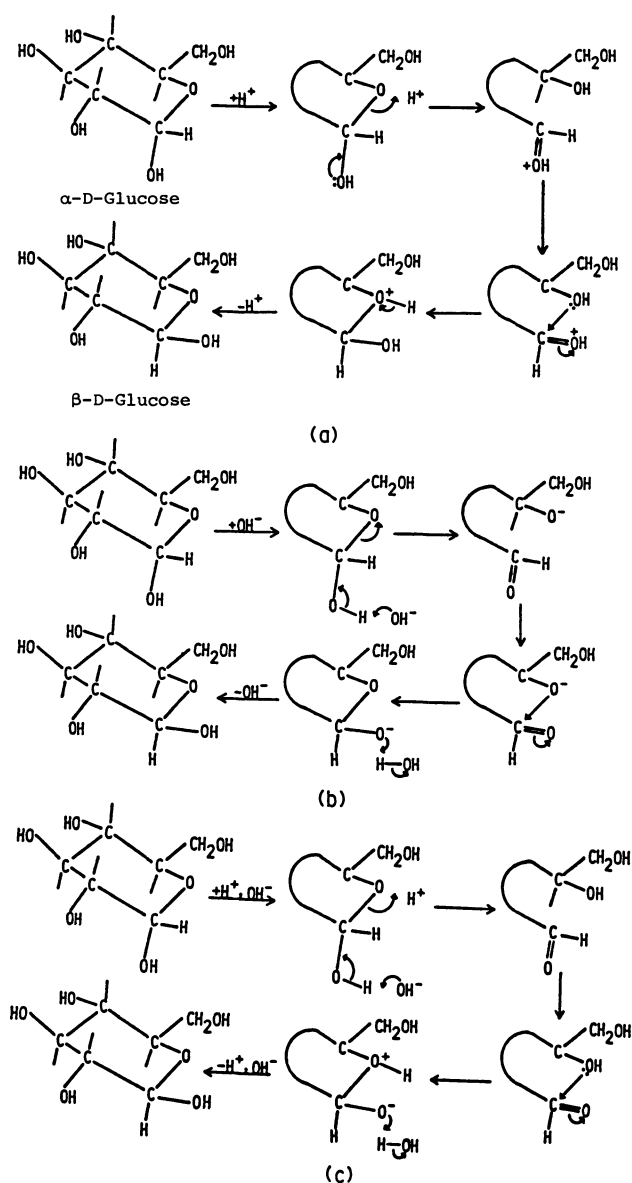


Fig. 1. (a) Acid catalyzed mechanism of mutarotation. (b) Base catalyzed mechanism of mutarotation. (c) Acid and base catalyzed mechanism of mutarotation.

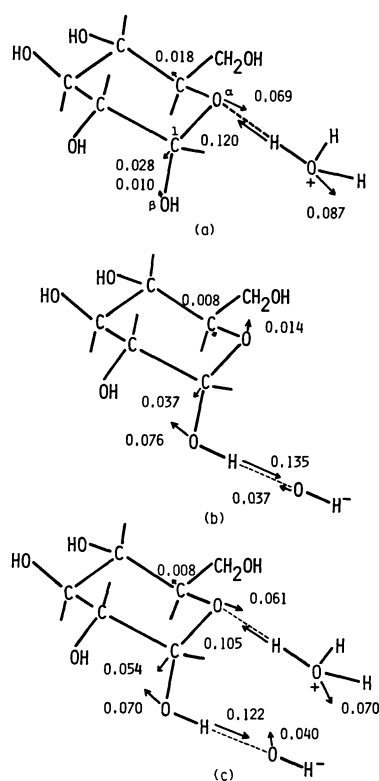


Fig. 2. Force on each atom for  $\text{glucose}^+$  (a),  $\text{glucose}^0\text{-OH}^-$  (b), and  $\text{glucose}^+\text{-OH}^-$  (c). Arrows in the figure represent the directions of forces, and numbers represent the magnitude of the forces in hartree/bohr.

For these models, the forces acting on the atoms were calculated by the energy gradient method. The results are shown in Fig. 2 for  $\alpha$ -D-glucose. The common feature for the acid catalysis (a)(c) is that the force on the proton of  $\text{H}_3\text{O}^+$  and that on the ether oxygen acts so as to attract each other and form a new O-H bond. As for the base catalysis (b)(c), the force on the proton of the anomeric OH group acts to elongate this O-H bond. Moreover it is noteworthy that the force to lengthen the  $\text{C}_1\text{-O}_\alpha$  bond acts on both atoms and the  $\text{C}_1\text{-O}_\beta$  bond tends to shorten for all systems. These results are common to  $\text{H}_2\text{O}$  and  $\text{NH}_3$ . Needless to say  $\text{glucose}^0$  has no component of force on each atom since its molecular structure was determined to be the equilibrium one by the energy gradient method.

In all model systems, the  $\text{C}_1\text{-O}_\alpha$  bond was weakened by the interaction between  $\text{glucose}^0$  and the acid or the base. The effects of the acid and the base were quantitatively evaluated by using the energy partition technique in the CNDO/2 method. The total energy ( $E$ ) in the CNDO/2 method is represented in following form,

$$E = \sum_A E_A + \sum_{A < B} E_{AB}$$

where  $E_A$  denotes the monoatomic contribution to

Table 1. The Changes of Two Center Energies ( $E_{AB}$ ) of  $\text{C}_1\text{-O}_\alpha$  Bond (in kcal mol $^{-1}$ ) ( $\alpha$ -form)

Species	$E_{AB}$	$\Delta E_{AB}^a$
Isolated form		
Glucose $^0$	-655.2	—
Acid catalysis		
Glucose $^+$	-640.3	15.0
Base catalysis		
Glucose $^0\text{-OH}_2$	-653.0	2.2
Glucose $^0\text{-NH}_3$	-652.3	2.9
Glucose $^0\text{-OH}^-$	-645.2	10.1
Acid-base catalysis		
Glucose $^+\text{-OH}_2$	-636.9	18.3
Glucose $^+\text{-NH}_3$	-635.7	19.5
Glucose $^+\text{-OH}^-$	-629.9	25.4

a)  $\Delta E_{AB} = E_{AB}(\text{Species}) - E_{AB}(\text{Glucose}^0)$ .

Table 2. The Changes of Two Center Energies ( $E_{AB}$ ) of  $\text{C}_1\text{-O}_\alpha$  Bond when Anomeric Hydroxyl Proton is Extracted by Bases  $\text{H}_2\text{O}$ ,  $\text{NH}_3$ , and  $\text{OH}^-$  (in kcal mol $^{-1}$ ) ( $\alpha$ -form)

O-H bond length( $\text{\AA}$ )	$\text{H}_2\text{O}$	$\text{NH}_3$	$\text{OH}^-$
Glucose $^0$ -“base” system $^{a,c)}$			
$r_e$	2.23	2.93	10.06
$r_e + 0.1$	2.71	3.69	11.49
$r_e + 0.2$	3.21	4.50	13.15
$r_e + 0.3$	3.72	5.57	15.14
Glucose $^+$ -“base” system $^{b,c)}$			
$r_e$	3.38	4.51	10.40
$r_e + 0.1$	3.98	5.68	12.47
$r_e + 0.2$	4.80	6.88	14.94
$r_e + 0.3$	5.53	8.23	17.77

a)  $\Delta E_{AB} = E_{AB}(\text{Glucose}^0\text{-“base”}) - E_{AB}(\text{Glucose}^0)$ . b)  $\Delta E_{AB} = E_{AB}(\text{Glucose}^+\text{-“base”}) - E_{AB}(\text{Glucose}^+)$ . c) Optimized anomeric  $\text{O}_\beta\text{-H}$  bond length ( $r_e$ ) is 1.032( $\text{\AA}$ ).

the total energy of atom A, and  $E_{AB}$  the diatomic contribution of atoms A and B. The terms involved in  $E_A$  and  $E_{AB}$  were already evaluated in the literature $^6$ ) and hence are omitted here. The effects of the acid and the base were quantitatively evaluated by using the value of  $E_{\text{Cl-O}_\alpha}$ . That is,  $E_{AB}$  was regarded as a measure for the bond energy. In Table 1, the values of  $E_{\text{Cl-O}_\alpha}$  are listed for  $\alpha$ -D-glucose. This indicates that the  $\text{C}_1\text{-O}_\alpha$  bond energy decreases in both  $\text{glucose}^+$  and  $\text{glucose}^0\text{-“base”}$ , and decreases much more in  $\text{glucose}^+\text{-“base”}$ . These are in good agreement with the magnitude and the directions of the forces shown in Fig. 2. From these results, it is expected that the acid and the base increase the reaction rate for the mutarotation and the combination of the acid and the base increases the rate much more.

Next, on the supposition that the base abstracts the anomeric hydroxyl proton,  $E_{\text{Cl-O}_\alpha}$  was calculated at

three bond lengths of the O-H group. The differences in  $E_{C1-O\alpha}$  between glucose<sup>0</sup> and glucose<sup>0</sup>-“base” or between glucose<sup>+</sup> and glucose<sup>+</sup>-“base” are respectively listed in Table 2 for  $\alpha$ -D-glucose, indicating that the C<sub>1</sub>-O <sub>$\alpha$</sub>  bond energy decreases as the bond length of the O-H group increases. Moreover, the extent of the weakening of the C<sub>1</sub>-O <sub>$\alpha$</sub>  bond has relation to the strength of the base; OH<sup>-</sup> remarkably decreases  $E_{C1-O\alpha}$  followed by NH<sub>3</sub> and H<sub>2</sub>O. The same results were obtained in  $\beta$ -D-glucose. That is, when the acid and the base interact with  $\beta$ -D-glucose, the forces on the atoms and the changes of the C<sub>1</sub>-O <sub>$\alpha$</sub>  bond energy have the same tendency as  $\alpha$ -D-glucose.

### Conclusion

We studied the effect of the acid or the base for the formation of a chain intermediate by analysis of both force and the C<sub>1</sub>-O <sub>$\alpha$</sub>  bond energy. From the results, we propose that the acid or the base facilitates the cleavage of the C<sub>1</sub>-O <sub>$\alpha$</sub>  bond and therefore speeds up the mutarotation as the whole. We also conclude that the acid-base catalysis increases the reaction rate more than the acid or the base catalysis alone and that the stronger the base the greater is the effect.

Consequently, we can explain the experimental result by the present calculation and therefore suggest that the mechanism of the acid and the base catalysis in Fig. 1 is valid.

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